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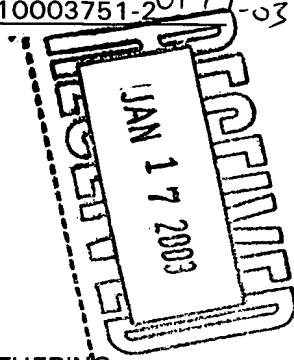


IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

ATTORNEY DOCKET NO. 10003751-201-17-03

2152 #6 137-03



Inventor(s): Richard C. Walker et al.

Serial No.: 09/816,605

Examiner:

Filing Date: March 23, 2001

Group Art Unit: 2152

Title: NETWORK MONITORING SYSTEM WITH BUILT-IN MONITORING DATA GATHERING

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

☐ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (*) were disclosed in Patent Application No. _____ filed _____, now U. S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: Jan. 7, 2003

Typed Name: Linda A. Iimura

Signature: Linda A. Iimura

Respectfully submitted,

Richard C. Walker et al.

By

Ian Hardcastle

Attorney/Agent for Applicant(s)

Reg. No. 34,075

Date: Jan. 7, 2003

Telephone: (650) 485-3015



PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10003751-2

SERIAL NO.

09/816,605

APPLICANT

Richard C. Walker et al.

FILING DATE

March 23, 2001

GROUP

2152

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		5,812,528	09/22/98	Cole A. VanDervort
		5,793,976	08/11/98	Thomas M. Chen et al.
		5,787,253	07/28/98	Timothy David McCreery et al.

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
		O 477 448 A1	04/01/92	EP		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Miyaho, Noriharu et al., "ATM Switching Node System Technology for Effective Maintainability",
Global Telecommunications Conference, 1995, IEEE Singapore 13-17 Nov. 1995, pp. 1719-1723.

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)